



## AUDIT & REGULATORY COMMITTEE AGENDA

**Date:** Wednesday, 23 June 2021

**Time:** 6.30 pm

**Venue:** The Octagon, Pavilion Gardens, Buxton

You can view the agenda online by using a smart phone camera and scanning the code below:



15 June 2021

### PART 1

1. Apologies for absence
2. To receive Disclosures of Interest on any matters before the Committee
  - i) Disclosable Pecuniary Interests
  - ii) Other Interests.
3. To approve the Minutes of the previous meeting **(Pages 3 - 6)**
4. External Audit - Audit Plan **(Pages 7 - 26)**
5. External Audit - Informing the Risk Assessment **(Pages 27 - 60)**
6. Arrangements for the appointment of the Executive Director of Finance and S151 Officer (verbal)
7. Annual Treasury Management Report **(Pages 61 - 76)**
8. Treasury Management Update **(Pages 77 - 88)**
9. Internal Audit Progress Report **(Pages 89 - 110)**
10. Internal Audit Charter **(Pages 111 - 124)**
11. Annual Audit Plan - Internal Audit **(Pages 125 - 130)**
12. Business Grants **(Pages 131 - 140)**
13. Financial Management Code **(Pages 141 - 150)**
14. Counter Fraud & Corruption Strategy **(Pages 151 - 170)**
15. Work Programme **(Pages 171 - 172)**

**MARK TRILLO**

## **EXECUTIVE DIRECTOR AND MONITORING OFFICER**

### Membership of Audit & Regulatory Committee

Councillor E Thrane (Chair)

Councillor J Collins

Councillor E Longden

Councillor K Savage

Councillor G Wharmby

Mr J Scampion

Councillor O Cross (Vice-Chair)

Councillor J Douglas

Councillor R Quinn

Councillor F Sloman

Mr M Thomas