

Appendix A: Above tolerance Strategic Risks

AMT Owner	Ref	Risk Description (vulnerability)	Current Controls	Impact	Likelihood	Further Mitigation Plans	Date
Andrew Stokes	SR2	Delivery of MTFP through the Efficiency and Rationalisation Strategy	Effective programme and project management methodology for the transformation programme. Performance Management Framework monitors the achievement of Council Aims. Feb 2018 iteration indicates a slightly improved position. 2017/18 efficiency targets met.	4	3	1. Monthly Transformation Board meetings to oversee key projects linked to the efficiency and rationalisation strategy e.g. housing delivery programmes to facilitate growth and the various income generation projects. 2. Accelerated procurement projects including environmental services, leisure centres and facilities management.	1. Ongoing 2. As per project milestones
Dai Larner	SR4	Viability of the Cornhill development project (East) – Risk has crystallised	1. Highways design and costing exercise (July 2014). 2. Masterplan and development appraisal for Cornhill East/Phase 1 of road scheme commissioned in 2015. 3. ESIF funding bid made in 2015 for employment development and access road on Cornhill East (unsuccessful) but to be resubmitted. Cabinet reports on resource implications and approval for submitting application for outline planning approval submitted.	2	5	Work with partners and adjacent landowners to bring the project forward.	Ongoing
Dai Larner	SR6	London Mill Development (Funding) – Risk has crystallised	1. Achieve some control over site by entering into option arrangement. 2. explore funding opportunities from all available sources	3	5	1. Discussions are ongoing with the owner regarding revised option arrangement for the site. 2. A Leek Mill Quarter masterplan is being considered in partnership with SCC and PENDA (Kier) to explore redevelopment opportunity of the	Ongoing

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						wider area including London Mill to improve development potential and therefore viability. 3. Conversations with HLF are ongoing regarding a Stage I bid. 4. Property is believed to have been purchased by a developer, which could reduce the risk to the project but funding is still unsecured and viability remains an issue.	
Mark Trillo	SR10	Safeguarding children and vulnerable adults – fulfilling our legal duty	1. Revised Joint Policy in place for Safeguarding Children and Vulnerable Adults. 2. Council is a member of the District Safeguarding Network (Staffs).3 All staff have been briefed on the safeguarding policy and identified staff have received level 1 training in safeguarding children. 4. Training on adult safeguarding has been provided to key staff members.	5	2	There is an ongoing rolling programme of actions in place and District Network meetings are attended on a regular basis. Refresher training for staff commenced in May 2018.	Ongoing
Andrew Stokes	SR15	Investment into council assets and long term planning	The completion of a full building condition survey of all public buildings. High level report issued to Corporate Select in July 16. Working Group being established to assist with the formulation of a new strategic asset management plan.	5	3	Complete essential H&S and structural works to properties whilst the strategic review is ongoing. A 12 month process to undertake the analysis and decisions required for the implementation of a new strategy. The health and safety works are on-going and the asset management plan is currently being finalised.	October 2018