

HIGH PEAK BOROUGH COUNCIL INTERNAL AUDIT – 2017/18 AUDIT RECOMMENDATIONS IMPLEMENTATION

AUDIT	TOTAL RECOMMENDATIONS		HIGH RISK RECOMMENDATIONS			MEDIUM RISK RECOMMENDATIONS			LOW RISK RECOMMENDATIONS			ASSURANCE OPINION / COMMENTS
	Regulatory	Added value	Agreed / (Not Agreed)	Due to date	Actioned to date	Agreed / (Not Agreed)	Due to date	Actioned to date	Agreed / (Not Agreed)	Due to date	Actioned to date	
Procurement	6	1	0	0	0	3	2	2	4	3	3	Satisfactory
Housing Rents	2	2	0	0	0	2	2	2	2	2	2	Satisfactory
Cemeteries	10	2	0	0	0	3	2	2	9	9	9	Satisfactory
Payroll Expenses	9	1	0	0	0	2	2	2	8	8	8	Satisfactory
Corporate Project Management	8	2	0	0	0	0	0	0	10	10	10	Satisfactory
Disabled Facilities Grants Assurance												N/A
Land Charges	2	3	0	0	0	0	0	0	5	2	2	Satisfactory
Conservation Grants	5	0	0	0	0	0	0	0	5	5	5	Satisfactory
Horticulture	9	0	0	0	0	3	2	2	6	5	5	Satisfactory
Capital Contract Management }	6	1	0	0	0	1	1	1	6	4	4	Satisfactory
Housing Planned Maintenance }												
Safeguarding Children & V A	12	3	0	0	0	3	3	3	12	8	8	Satisfactory
Elections	15	3	0	0	0	3	3	3	15	15	15	Satisfactory
Planning Policy	3	1	0	0	0	0	0	0	4	1	1	Substantial
Housing Advice	8	1	0	0	0	2	2	2	7	3	3	Satisfactory
Emergency Planning / BCP	16	0	0	0	0	1	0	0	15	11	11	Satisfactory
Development Control Section 106	3	4	0	0	0	2	0	0	5	0	0	Satisfactory
Data Protection & Info Governance	15	0	0	0	0	4	4	4	11	10	10	Satisfactory
Licensing	18	1	0	0	0	5	4	4	14	0	0	Limited
Freedom of Information	5	0	0	0	0	0	0	0	5	0	0	Satisfactory
Regeneration	3	0	0	0	0	1	1	1	2	0	0	Satisfactory
Treasury Management	1	0	0	0	0	0	0	0	1	0	0	Substantial
Sundry Debtors	3	1	0	0	0	1	0	0	3	0	0	Satisfactory
Carelink	20	10	0	0	0	5	1	1	25	7	7	Limited
NNDR	4	0	0	0	0	0	0	0	4	0	0	Satisfactory
Council Tax	7	0	0	0	0	2	0	0	5	0	0	Satisfactory
Legal Services	6	2	0	0	0	1	0	0	7	2	2	Satisfactory
Housing Strategy	5	0	0	0	0	2	2	2	3	0	0	Satisfactory
Creditor Payments	7	0	0	0	0	1	1	1	6	0	0	Satisfactory
General Ledger	1	0	0	0	0	0	0	0	1	0	0	Substantial
Strategic Partnerships	9	0	0	0	0	2	0	0	7	0	0	Satisfactory
Budgetary Control	0	1	0	0	0	0	0	0	1	0	0	Substantial
Payroll	11	3	0	0	0	4	3	3	10	0	0	Satisfactory
Recovery	7	2	0	0	0	0	0	0	9	0	0	Satisfactory
Housing Benefits	10	1	0	0	0	3	0	0	8	0	0	Satisfactory
TOTAL RECOMMENDATIONS	291		0			56			235			
ACTION TAKEN TO DATE				0	0		35	35		105	105	

Key:		Class	
Risk		Regulatory	
High	Significant control weakness / inefficiency exists with a high likelihood of occurring, potentially causing a breach of legislation / legal requirements and/or a substantial loss or damage to Council assets, information and reputation. Considered essential to implement recommendation promptly.		To ensure the integrity of internal controls and/or compliance with Regulations / Policies and Procedures.
Medium	Control weakness / inefficiency exists with a moderate likelihood of occurring, potentially causing a breach of organisational policies and procedures, loss or damage to Council assets, information and reputation. Considered essential to implement recommendation to ensure adequate system controls / necessary improvement in service provision.	Added Value	Intended as an enhancement to the existing system which may provide a benefit to either the user or the customer.
Low	Minor control weakness / inefficiency exists with a minimal impact on the Council assets, information and reputation. Considered necessary to implement recommendation to provide management with additional assurance regarding the adequacy of system controls / improvement in service provision.		