

## Appendix A: Above tolerance Strategic Risks

AMT Owner	Ref	Risk Description (vulnerability)	Current Controls	Impact	Likelihood	Further Mitigation Plans	Date
Andrew Stokes	SR2	Delivery of MTFP through the Efficiency and Rationalisation Strategy	Effective programme and project management methodology for the transformation programme. Performance Management Framework monitors the achievement of Council Aims. Feb 2018 iteration indicates a slightly improved position. 2017/18 efficiency targets met.	4	3	1. Monthly Transformation Board meetings to oversee key projects linked to the efficiency and rationalisation strategy e.g. housing delivery programmes to facilitate growth and the various income generation projects. 2. Accelerated procurement projects including environmental services, leisure centres and facilities management.	1. Ongoing 2. As per project milestones
Mark Trillo	SR10	Safeguarding children and vulnerable adults – fulfilling our legal duty	1. Revised Joint Policy in place for Safeguarding Children and Vulnerable Adults. 2. Council is a member of the District Safeguarding Network (Staffs).3 All staff have been briefed on the safeguarding policy and identified staff have received level 1 training in safeguarding children. 4. Training on adult safeguarding has been provided to key staff members.	5	2	There is an ongoing rolling programme of actions in place and District Network meetings are attended on a regular basis. Refresher training for staff.	Ongoing
Andrew Stokes	SR11	Impacts of financial and regulatory reform on the HRA Business Plan	1. Strategic review of housing management function completed. 2. In depth review of capital programme 3. Signed up to voluntary code of practice. 4. Full self assessment against the voluntary code of practice via the newly established working group. 5. Action Plan from Position Statement with re-	4	3	1. Financial analysis of stock condition survey results 2. HRA review underway – focusing on all areas of the HRA with assigned stream leads over a 12 month period. Reporting mechanism in place to a Member/Officer working group.	1. Underway 2. Underway

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			established priorities for the HRA. 6. HRA Business Plan and Financial Improvement Plan agreed. 7. Stock condition surveys completed. 8. Latest iteration of HRA Business Plan is forecasting a surplus rather than a deficit based on efficiency programme being achieved.				
Andrew Stokes	SR15	Investment into council assets and long term planning	The completion of a full building condition survey of all public buildings. High level report issued to Corporate Select in July 16. Working Group being established to assist with the formulation of a new strategic asset management plan.	5	3	Complete essential H&S and structural works to properties whilst the strategic review is ongoing. A 12 month process to undertake the analysis and decisions required for the implementation of a new strategy. The health and safety works are on-going and the asset management plan is currently being finalised.	October 2018