

## AUDIT & REGULATORY

Chairman: Councillor Douglas  
Vice Chairman: Councillor Sloman  
Lead Officer: A Stokes

Date	Items	Lead Officer	Purpose/Method of Consideration
13-Feb-2019	Certification of Claims and Returns Annual Report	G Patterson	
	External Audit 2018/19 Audit Plan	G Patterson	
	External Audit – Informing the Risk Assessment	G Patterson	
	Review of Risk Management Strategy	V Higgins	
	Risk Management Update	V Higgins	
	Annual Governance Statement – Progress against 2017/18 Action Plan	A Stokes	
	Treasury Management Update	C Hazeldene	
	2019/20 Treasury Management Strategy	A Stokes	
	2018/19 Internal Audit Periodic Report	J Leak	
	Work Programme		
23-May-2019	Planned Audit Fee for 2019/20	G Patterson	
	External Audit Update	G Patterson	
	Internal Audit Annual Audit Plan 2019/20	J Leak	
	2018/19 Internal Audit Periodic Report	J Leak	
	Work Programme		
Jul-2019	External Audit findings	G Patterson	
	External Audit update	G Patterson	
	Annual Governance Statement 2018/19	A Stokes	
	Draft Statement of Accounts 2018/19	A Stokes	
	Annual Treasury Management Report 2018/19	C Hazeldene	

	Treasury Management Update Report	C Hazeldene	
	2018/19 Internal Audit Periodic Report	J Leak	
	Internal Audit – Annual Report 2018/19	J Leak	
	2018/19 Annual Review of Effectiveness of Internal Audit	J Leak	
	2018/19 Annual Review of Effectiveness of the Audit and Regulatory Committee	J Leak	
	Work Programme		
Oct-2019	External Audit update	G Patterson	
	External Audit 2018/19 Annual Audit Letter	G Patterson	
	Treasury Management - Update Report	C Hazeldene	
	2019/20 Internal Audit Periodic Report	J Leak	
	Appointment of Mayor 2020/21	L Vernon	
	Risk Management Update	V Higgins	
	Work Programme		
To add in	Exercises to test Business Continuity Plans	A Stokes	
	Polling of LGPS and arrangements ?	A Stokes	

