## STAFFORDSHIRE MOORLANDS DISTRICT COUNCIL

### **Standards Committee**

### **21 November 2019**

TITLE: Standards Committee Annual Report 2018/19

PORTFOLIO HOLDER: Councillor Sybil Ralphs - Council Leader

CONTACT OFFICER: Linden Vernon - Senior Officer (Governance

and Member Support)

WARDS INVOLVED: Non-Specific

### Appendices A - Role of the Committee

## 1. Reason for the Report

1.1 To review the work of the Standards Committee during 2018/2019.

### 2. Recommendation

2.1 That the report be noted.

## 3. **Executive Summary**

- 3.1 This report provides an overview of the issues considered by the Standards Committee during 2018/19. This included the following matters:
  - Monitoring complaints received regarding alleged breaches of the Code of Conduct
  - The Annual Letter received from the Local Government Ombudsman
  - Annual Review of Councillor Development
  - Committee on Standards in Public Life Ethical Standards Review

## 4. How this report links to Corporate Priorities

4.1 High standards of conduct are integral to the Council achieving its corporate priorities.

## 5. Alternative Options

5.1 There are no options to consider.

# Mark Trillo Executive Director (People) and Monitoring Officer

# Web Links and Background Papers

Standards Committee Reports
Committee on Standards in Public Life – 7 Principles of Public Life

#### Contact details

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Support)
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### 6. **Detail**

- 6.1 Article 9 of the Council's Constitution defines the role of the Standards Committee and is shown in full in **Appendix A** to this report. In summary this includes:
  - To promote high standards of conduct by elected and co-opted members and staff
  - To advise the Council, monitor the operation and provide training/notes on local codes of conduct, protocols or other ethical guidance for Members and staff
  - Granting dispensations to District Councillors
  - To consider and where necessary take action regarding complaints for breaches of the Code of Conduct
  - To promote Member development and training on ethics and standards generally within the District Council
  - To monitor the Council's corporate complaints procedure including any references to the Local Government or Housing Ombudsman and to consider any implications for the Council's codes, protocols or ethical guidance
  - To advise the Council on the adoption or revision of the Constitution
- 6.2 The current membership of the committee is provided below. The Council's Independent Persons continue to be invited to attend and participate at Committee meetings.

| Standards Committee Composition |                                   |  |
|---------------------------------|-----------------------------------|--|
| District Councillors            | Councillor Geoff Bond (Chair)     |  |
|                                 | Councillor Ben Emery (Vice-Chair) |  |
|                                 | Councillor James Aberley          |  |
|                                 | Councillor Ian Herdman            |  |
|                                 | Councillor Kevin J Jackson        |  |
|                                 | Councillor Brian Johnson          |  |
|                                 | Councillor Kate Martin            |  |
|                                 | Councillor Phil Taylor            |  |
|                                 | Councillor Peter Wilkinson        |  |

| Standards Committee Composition |                               |  |  |
|---------------------------------|-------------------------------|--|--|
| Town/Parish representatives     | Cllr David Fowler             |  |  |
| (non-voting)                    | Cllr Barbara Hughes           |  |  |
| Independent Persons             | Mr Harry Mawdsley             |  |  |
| ·                               | Mr Philip Brough (substitute) |  |  |

## Monitoring complaints received regarding alleged breaches of the Code of Conduct

- 6.3 The Committee continued to receive regular complaint monitoring reports regarding alleged breaches of the Code of Conduct. These reports updated members on the number and nature of complaints dealt with by the Monitoring Officer and explained:
  - If these related to borough or town/parish councillors
  - If any further action should be taken
  - If further investigations were considered to be appropriate
- This information is then used to identify trends and consider themes for which training seminars may be planned. The table below summarises the complaints received for the previous years and compares these with the Authority's Strategic Alliance partner, High Peak Borough Council (HPBC).

| Year    | No. of Complaints |               |               |        |         |       |
|---------|-------------------|---------------|---------------|--------|---------|-------|
|         | Staffordshire     | Staffordshire | Staffordshire | High   | High    | High  |
|         | Moorlands         | Moorlands     | Moorlands     | Peak   | Peak    | Peak  |
|         | Parish Cllr       | District Cllr | Total         | Parish | Borough | Total |
|         |                   |               |               | Cllr   | Cllr    |       |
| 2013/14 | 3                 | 1             | 4             | 10     | 1       | 11    |
| 2014/15 | 5                 | 1             | 6             | 2      | 1       | 3     |
| 2015/16 | 3                 | 12            | 15            | 4      | 0       | 4     |
| 2016/17 | 9                 | 16            | 25            | 4      | 2       | 6     |
| 2017/18 | 5                 | 8             | 13            | 1      | 3       | 4     |
| 2018/19 | 2                 | 9             | 11            | 2      | 1       | 3     |

### The Annual Letter received from the Local Government Ombudsman

6.5 The Annual Letter of the Local Government Ombudsman was considered by the Committee at its meeting in November 2018. The table below provides a summary of the number of complaints dealt with by the Ombudsman set against the total number of complaints received by the Authority. For comparison purposes figures for previous years are also provided together with details for HPBC.

| Year    | No. of Ombudsman Complaints |            |            |            |
|---------|-----------------------------|------------|------------|------------|
|         | SMDC                        |            | НРВС       |            |
|         | No. of                      | Total      | No. of     | Total      |
|         | Enquiries                   | Number of  | Enquiries  | Number of  |
|         | or                          | Complaints | or         | Complaints |
|         | Complaints                  |            | Complaints |            |
| 2012/13 | 14                          | 439        | 10         | 404        |
| 2013/14 | 12                          | 280        | 20*        | 372        |
| 2014/15 | 10                          | 284        | 12         | 413        |
| 2015/16 | 13                          | 258        | 11         | 368        |
| 2016/17 | 10                          | 245        | 8*         | 448        |
| 2017/18 | 12                          | 193        | 15         | 308        |

<sup>\*</sup> This includes one complaint from the Housing Ombudsman Service.

6.6 The relatively small number of complaints that reach the Ombudsman compared to the total number of complaints received by the Authority illustrates the strength of the Council in ensuring complaints are dealt with promptly and appropriately.

### **Annual Review of Councillor Development**

- 6.7 The Member Development Working Group co-ordinates the Council's learning and development programme for councillors. It is a cross-party group, which is chaired by Councillor David Shaw.
- 6.8 The Learning and Development Programme is focused on responding to members' training requirements and also aims to address any changes in legislation and the Council's priorities.
- 6.9 Generally, all Members are invited to attend all events, with some seminars, particularly around regulatory matters, such as Planning and Licensing, being essential for members of those committees to attend in order to fulfil requirements as set out in the Council's Constitution.
- 6.10 The Development Programme included the following seminars during the previous 2018/19 (sessions are open to all councillors unless otherwise stated):

| Seminar   | No. of District Councillors in attendance |
|---|---|
| Risk Management Training                            | 5   |
| (also attended by an independent person) – open to  |   |
| members of the Audit & Accounts Committee           |   |
| Planning Training – open to members of the Planning | 9   |
| Applications Committee                              |   |
| General Data Protection Regulation Training         | 10  |
| (also attended by 31 parish councillors)            |   |
|   |   |

| Seminar   | No. of District<br>Councillors in<br>attendance |
|---|---|
| Planning Training – open to members of the Planning | 5   |
| Applications Committee                              |   |
| Planning Committee Highways – open to members of    | 8   |
| the Planning Applications Committee Training        |   |
| Planning Committee Highways Training                | 7   |
| Emergency Planning Briefing                         | 14  |

6.11 In addition to the main development programme, support was on-going around Members' use of IT. This includes guidance on use of tablet computers and the Council's committee management system, Modern.Gov. This has been done via group training sessions, drop in sessions and 1:1s as required.

### Committee on Standards in Public Life - Ethical Standards Review

- 6.12 The Committee on Standards in Public Life (CSPL) considers that robust standards arrangements are needed to safeguard local democracy, maintain high standards of conduct, and to protect ethical practice in local government.
- 6.13 In 2018, the CSPL undertook a review of local government ethical standards.

  The Council responded to the consultation exercise which informed the report.

  The Committee considered the report's findings which included areas such as:
  - The use of Codes of Conduct
  - Allegations of bullying
  - The use of Social Media
  - Sanctions available
  - The role of the Independent Person, Monitoring Officer, Local Government Ombudsman and Parish Clerks
  - Arrangements for disclosure of interests
  - The intimidation of councillors
- 6.14 The Committee received a further report on these findings in July 2019.
- 6.15 To mark the 25th anniversary of the establishment of the CSPL in October 2019 it has published a series of short films about the 7 Nolan Principles of Public Life and what they mean in practice. The films can be viewed here.