

## AUDIT & REGULATORY COMMITTEE WORK PROGRAMME 2021/22

Item	Nov 2021*	Dec 2021*	Feb 2022	June 2022	Sept 2021	Details
INTERNAL AUDIT						
Internal Audit Progress Report		✓	✓	✓	✓	Reports on progress against the audit plan inc. key performance information.
Annual Audit Plan				✓		To consider and approve the Annual Audit Pan.
Internal Audit Annual Report					✓	To consider Internal Audit's annual report and opinion on the overall adequacy and effectiveness of the Council's internal control environment.
Annual Review of Effectiveness of IA					✓	To consider an annual review of the effectiveness of the Council's system of internal audit.
Internal Audit Charter				✓		To consider updates to the formal document that defines Internal Audit's purpose, authority and responsibility. (as required)
EXTERNAL AUDIT						
Audit Committee Update			✓		✓	A summary of emerging national issues and developments that the Committee may wish to consider. Also provides a summary of progress on the audit – where not covered by other items on the agenda.
Audit Plan			✓			Report specifying the detailed risks that external audit consider as part of their work, the audit approach and the result of any interim work
Audit Findings Report	✓				✓	To consider the external auditor's report to those charged with governance on issues arising from the audit of the accounts and the VFM conclusion.
Auditor's Annual Report		✓				To consider the external auditor's annual report on their overall assessment of the Council.
Informing the Audit Risk Assessment			✓			To consider the risk assessment and understanding of management processes.

\* Dates to be confirmed

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<b>FINANCE</b>						
Statement of Accounts	✓				✓	To review and approve the Council's annual Statement of Accounts.
Treasury Management Update		✓	✓	✓	✓	Oversight and scrutiny of the Council's Treasury Management position to date and the projected outturn.
Annual Treasury Management Report				✓		To recommend to Council for approval the annual Treasury Management Report summarising performance and compliance with the Strategy and Prudential Indicators.
Treasury Management Strategy			✓		✓	Oversight and scrutiny of the Council's Treasury Management Strategy.
Financial Management Code		✓				Self-assessment against the principles of the FM Code.
<b>CORPORATE</b>						
Annual Governance Statement (AGS)	✓				✓	To review and approve the Annual Governance Statement and the underlying assurance evaluation process and supporting evidence.
AGS Progress Against Action Plan			✓			Report on progress made against the actions raised as part of the previous years Annual Governance Statement process.
Risk Management Update		✓		✓		Report on developments in the Council's risk management arrangements.
Risk Management Strategy						Update of the Risk Management Strategy (biennial February)
Counter Fraud & Corruption Strategy				✓		To consider updates to the Council's Counter Fraud & Corruption Strategy. (as required)
Regulation of Investigatory Powers Act Policy & Procedures			✓			To consider updates to the Council's Regulation of Investigatory Powers Act Policy & Procedures. (as required)
Whistleblowing Policy		✓				To consider updates to the Council's Whistleblowing Policy. (as required)
<b>AUDIT &amp; REGULATORY COMMITTEE</b>						
Agree Programme of Work		✓	✓	✓	✓	To agree future work programme of A&R Committee.
Review of Effectiveness of A&R Committee inc. Terms of Reference					✓	To consider the annual review of the effectiveness of the Audit & Regulatory Committee and the A&R Committee's Terms of Reference.

\* Dates to be confirmed