

HIGH PEAK BOROUGH COUNCIL INTERNAL AUDIT – 2021/22 PROGRESS INFORMATION AS AT 31<sup>st</sup> JANUARY 2022

AUDIT	TOTAL RECOMMENDATIONS		HIGH RISK RECOMMENDATIONS			MEDIUM RISK RECOMMENDATIONS			LOW RISK RECOMMENDATIONS			ASSURANCE OPINION / COMMENTS
	Regulatory	Added value	Agreed / (Not Agreed)	Due to date	Actioned to date	Agreed / (Not Agreed)	Due to date	Actioned to date	Agreed / (Not Agreed)	Due to date	Actioned to date	
Housing Rents	2	0	0	0	0	0	0	0	2	1	1	Substantial
Housing Agency	6	1	0	0	0	2	0	0	5	1	1	Satisfactory
Safeguarding	4	0	0	0	0	0	0	0	4	0	0	Satisfactory
AES Contract Management	3	0	0	0	0	2	0	0	1	0	0	Satisfactory
Commercial Properties	10	0	0	0	0	1	0	0	9	0	0	Satisfactory
Data Protection/GDPR	16	0	0	0	0	3	0	0	13	0	0	Satisfactory
Land Charges	3	0	0	0	0	0	0	0	3	0	0	Satisfactory
Human Resources	13	3	0	0	0	1	0	0	15	9	9	Satisfactory
Cemeteries	9	0	0	0	0	1	0	0	8	1	1	Satisfactory
Housing Repairs & Maintenance												Management Response
Licensing												Audit in Progress
ICT Asset Management	10	0	0	0	0	3	0	0	7	1	1	Satisfactory
Markets												Audit in Progress
Strategic Partnerships												Audit in Progress
Building Control Contract Managemt												Audit in Progress
CivicaPay												Audit in Progress
Sundry Debtors												Audit in Progress
Budgetary Control	0	0	0	0	0	0	0	0	0	0	0	Substantial
Treasury Management												Audit in Progress

TOTAL RECOMMENDATIONS

80	0				13				67		
----	---	--	--	--	----	--	--	--	----	--	--

ACTION TAKEN TO DATE

		0	0			0	0			13	13
--	--	---	---	--	--	---	---	--	--	----	----

**Key:**

**Risk**

**High** Significant control weakness / inefficiency exists with a high likelihood of occurring, potentially causing a breach of legislation / legal requirements and/or a substantial loss or damage to Council assets, information and reputation. Considered essential to implement recommendation promptly.

**Medium** Control weakness / inefficiency exists with a moderate likelihood of occurring, potentially causing a breach of organisational policies and procedures, loss or damage to Council assets, information and reputation. Considered essential to implement recommendation to ensure adequate system controls / necessary improvement in service provision.

**Low** Minor control weakness / inefficiency exists with a minimal impact on the Council assets, information and reputation. Considered necessary to implement recommendation to provide management with additional assurance regarding the adequacy of system controls / improvement in service provision.

**Class**

**Regulatory** To ensure the integrity of internal controls and/or compliance with Regulations / Policies and Procedures.

**Added Value** Intended as an enhancement to the existing system which may provide a benefit to either the user or the customer.