

HIGH PEAK BOROUGH COUNCIL INTERNAL AUDIT – 2021/22 PROGRESS INFORMATION AS AT 31st MAY 2022

AUDIT	TOTAL RECOMMENDATIONS		HIGH RISK RECOMMENDATIONS			MEDIUM RISK RECOMMENDATIONS			LOW RISK RECOMMENDATIONS			ASSURANCE OPINION / COMMENTS
	Regulatory	Added value	Agreed / (Not Agreed)	Due to date	Actioned to date	Agreed / (Not Agreed)	Due to date	Actioned to date	Agreed / (Not Agreed)	Due to date	Actioned to date	
Housing Rents	2	0	0	0	0	0	0	0	2	1	1	Substantial
Housing Agency	6	1	0	0	0	2	2	2	5	5	1	Satisfactory
Safeguarding	4	0	0	0	0	0	0	0	4	4	4	Satisfactory
AES Contract Management	3	0	0	0	0	2	2	2	1	1	1	Satisfactory
Commercial Properties	10	0	0	0	0	1	0	0	9	1	0	Satisfactory
Data Protection/GDPR	16	0	0	0	0	3	2	2	13	0	0	Satisfactory
Land Charges	3	0	0	0	0	0	0	0	3	0	0	Satisfactory
Human Resources	13	3	0	0	0	1	1	1	15	11	11	Satisfactory
Cemeteries	9	0	0	0	0	1	0	0	8	1	1	Satisfactory
Housing Repairs & Maintenance	8	2	0	0	0	5	1	1	5	2	2	Limited
Licensing	5	0	0	0	0	0	0	0	5	1	1	Satisfactory
ICT Asset Management	10	0	0	0	0	3	3	3	7	1	1	Satisfactory
Strategic Partnerships	8	1	0	0	0	3	0	0	6	0	0	Satisfactory
Building Control Partnership	12	0	0	0	0	6	0	0	6	0	0	Limited
CivicaPay												Audit in Progress
Sundry Debtors	1	0	0	0	0	0	0	0	1	0	0	Substantial
Budgetary Control	0	0	0	0	0	0	0	0	0	0	0	Substantial
Treasury Management	2	0	0	0	0	1	0	0	1	0	0	Satisfactory
Council Tax	2	0	0	0	0	1	0	0	1	0	0	Satisfactory
NNDR	5	1	0	0	0	1	0	0	5	2	2	Satisfactory
Creditor Payments												Management Response
Housing Benefits												Management Response
General Ledger	1	0	0	0	0	0	0	0	1	0	0	Substantial
Recovery	4	0	0	0	0	1	0	0	3	0	0	Satisfactory
Payroll												Audit in Progress
TOTAL RECOMMENDATIONS	132	0				31			101			
ACTION TAKEN TO DATE			0	0			11	11		30	25	

Key: Risk		Class	
High	Significant control weakness / inefficiency exists with a high likelihood of occurring, potentially causing a breach of legislation / legal requirements and/or a substantial loss or damage to Council assets, information and reputation. Considered essential to implement recommendation promptly.	Regulatory	To ensure the integrity of internal controls and/or compliance with Regulations / Policies and Procedures.
Medium	Control weakness / inefficiency exists with a moderate likelihood of occurring, potentially causing a breach of organisational policies and procedures, loss or damage to Council assets, information and reputation. Considered essential to implement recommendation to ensure adequate system controls / necessary improvement in service provision.	Added Value	Intended as an enhancement to the existing system which may provide a benefit to either the user or the customer.
Low	Minor control weakness / inefficiency exists with a minimal impact on the Council assets, information and reputation. Considered necessary to implement recommendation to provide management with additional assurance regarding the adequacy of system controls / improvement in service provision.		