

Appendix D: Above Tolerance Opportunity Risks

ALT Owner	Ref	Opportunity	Controls	Fruition Plans
Strategic				
Neil Rodgers Impact – 5 Likelihood - 3	SRRO - 1	Housing Growth	<ol style="list-style-type: none"> 1. Affordable Homes Programme. 2. Empty Homes Strategy in place. 3. Local Plan approved for High Peak. 4. Accelerated Housing Delivery Programme 	<ol style="list-style-type: none"> 1. Empty homes strategy implementation. 2. Focus on growth agenda through Local Plan delivery - realisation of Accelerated Housing Delivery Programme. 3. Work with Homes England to access funding to support housing growth. 4. Disposal of remaining Council owned sites being programmed for 2023/24.
Neil Rodgers Impact – 4 Likelihood - 4	SRRO - 2	Business Growth	<ol style="list-style-type: none"> 1. Growth Hub referrals. 2. County working e.g., participation in Derbyshire 100% retention pilot for 2018/19. 3. Provision of employment units 4. Business Newsletters advising of grant funding opportunities and training events to help businesses survive and flourish 	<ol style="list-style-type: none"> 1. Implement the accelerated business growth and employment programme. 2. Work with LEP partners to access vital funding to support business growth. 3 New business support schemes provided by CRF programmes and Vision Derbyshire. Use of ARG funding to provide enhanced business support.
Mark Trillo Impact – 3 Likelihood - 4	SRRO - 4	Effective contractor relationships	Current procurement and contract management arrangements	<ol style="list-style-type: none"> 1. Greater focus on Council as commissioner 2. Effective contract management arrangements that build up effective partnership arrangements 3. Focus on Contract Management within the Procurement Strategy (Information Digest Report released June 2021). Implementation and delivery of the key priority actions will commence from January 2023.
Operational				
Helen Core – Carelink Both Impact – 4 Likelihood – 5	CLO1 CLO2	Capitalising on the new operating model to grow the client base Capitalising on the new operating model to expand the range of services offered	These opportunities can be properly explored once the new structure has been implemented	Marketing, advertising, and promotion.
Tanya Cooper Impact – 3	ODTO R1	Efficiency opportunities arising from the implementation of	IT strategy evaluated to create a clearer picture of the way forward and the required actions.	IT strategy now approved, and action plan developed.

Likelihood - 4		new IT systems.		
Projects				
Lorraine Wright – FHSF All Impact – 3 Likelihood – 4	1	Legal and co-financing deal	FHSF grant approved and MOU now signed/issued. Exclusivity agreement with owners in place, although not signed/dated. Council Executive has approved price offer 9/12/21.	1. FHSF business case approved (complete). 2. Executive approved price offer for purchase (complete). 3. Price agreed, and appropriate legal/due diligence conditions investigated prior to exchange.
	2	Potential to maximise visitor economy	FHSF underway and Council approval agreed for submission	Works to inform mapping of development option underway (subject to price agreement).
	3	New housing, business growth, sustainable town centre and new employment opportunities.	FHSF grant offer and MOU signed. Works on due diligence completed. Procured advice on route for appointment of development partner	Parking matters reviewing car park proposals in masterplan and options. Development options report received and submitted to executive. Mapping of development option underway (subject to price agreement).
	4	Improve skills and IMD education deprivation scores.	FHSF grant offer approved. Close and established joint working with Uni of Derby and DCC (Library). Council already approved revised governance structure (Feb 21)	1. Establishment of project Board with key stakeholders, subject to site purchase June 2022. Complete
Tanya Cooper – Procure Workflow Impact – 3 Likelihood – 4	PWO pp1	Improved business intelligence and reporting function	Limited reporting function in the current system but an improved reporting function has been highlighted as an outline deliverable and is part of the critical success criteria	1. New system specifications include an improved reporting function 2. Critical success criteria includes an improved reporting function
Lorraine Wright – HAZ Impact – 4 Likelihood - 4	1	Opportunity to access funding to address the condition of heritage buildings on the high street	The level of funding from HE is significant and will provide the opportunity for a major injection of capital into the High Street. The funding will provide a grant funding programme available to owners of historic properties to enhance these. There will be a positive impact on the high street and retention of the	1. Building condition survey to assess current condition and grant projects - now completed 2. Re-launch the scheme and publicity - May 2021 and ongoing 3. Recruit Project Officer - Completed November 2020 4. Set up Governance structure and grant giving body. Approved February 2021 5. Monitor and evaluate - ongoing 6. Project profile of spend and delivery revised to account for Covid 19 and the economic health of the high street.

			council's reputation to deliver heritage led regeneration projects. There will be opportunities for cultural and community engagement projects.	
David Smith – Glossop Halls Impact – 4 Likelihood - 3	1	To revitalise the buildings and provide them with financial sustainability.	Monthly project board led by Executive Director. Support from external consultants Focus and engagement with the Creative Trust and local community. Procurement of Commercial Partner in progress	Contract agreement with Commercial Partner. Progress with the Creative Trust regarding involvement and activities within the Town to compliment the facility.
Lorraine Wright – AHDP	PLA.3 (1)	External funding to unlock housing delivery.	Working with Homes England through HIF programme to fund new infrastructure	Amended DoV reviewed by Freeths back with Homes England for discussion around schedule 5 clauses re Hogshaw delivery.
Both Impact – 5 Likelihood – 4	PLA.3 (2)	Capital receipt through land disposal.	Land disposal and strategy agreed through AHDP.	FG assisting with housing delivery strategy and Heads of Terms. To be considered by Exec at meeting early 2023
Both Impact – 4 Likelihood - 4	PLA.3 (3)	Improved access to Tongue Lane Ind Est	Proposed new link road from roundabout to Waterswallows development will provide better access to Tongue Lane Industrial Estate. Waterswallows developer to provide link road east from roundabout to industrial estate access point.	Legally binding agreements to be drafted to ensure developers fund link roads.
	PLA.3 (5)	Wider economic benefits	The funding of the roundabout is based upon housing delivery and the wider economic benefits these bring. This is set out in the GFA. Failure to meet housing numbers could impact on funding.	Legally binding agreements to be entered into between council and developers to ensure housing delivery.
Rob Wilks Simmondley & Jodrell Road	1	Opportunity to develop trusting relationships with the local primary schools and residents	Play inspections occur regularly by staff	Project delivery

All Impact – 4 Likelihood - 3		in the local community in both Simmondley and Whaley Bridge, with a view to the creation of a community group		
	2	Opportunity to support a community group to access further external funding to enhance the site.	There are limited funding options available to local councils	
	3	Opportunity for promoting physical activity with a range of external partners	The limited facility in situ currently limits physical activity opportunities	