

STAFFORDSHIRE MOORLANDS DISTRICT COUNCIL INTERNAL AUDIT – 2022/23 PROGRESS INFORMATION AS AT 31<sup>st</sup> MAY 2023

AUDIT	TOTAL RECOMMENDATIONS		HIGH RISK RECOMMENDATIONS			MEDIUM RISK RECOMMENDATIONS			LOW RISK RECOMMENDATIONS			ASSURANCE OPINION / COMMENTS
	Regulatory	Added value	Agreed / (Not Agreed)	Due to date	Actioned to date	Agreed / (Not Agreed)	Due to date	Actioned to date	Agreed / (Not Agreed)	Due to date	Actioned to date	
Markets	4	1	0	0	0	2	0	0	3	3	3	Satisfactory
Pollution	17	4	0	0	0	4	3	3	17	0	0	Satisfactory
Housing Strategy	6	0	0	0	0	3	1	1	3	1	1	Satisfactory
Enforcement	11	2	0	0	0	4	1	0	9	2	0	Satisfactory
VAT	7	0	0	0	0	0	0	0	7	2	2	Satisfactory
Parks & Open Spaces	12	4	0	0	0	1	1	0	15	12	7	Satisfactory
Asset Stock Management	7	1	0	0	0	3	0	0	5	0	0	Satisfactory
Development Control S106	6	0	0	0	0	1	0	0	5	0	0	Satisfactory
Alliance Norse Governance	8	0	0	0	0	4	2	2	4	2	2	Limited
ICT Contract Management	4	0	0	0	0	1	1	1	3	0	0	Satisfactory
Sundry Debtors	1	0	0	0	0	0	0	0	1	1	1	Substantial
HR Agile Working												Management Response
Budgetary Control	2	0	0	0	0	1	1	1	1	0	0	Satisfactory
Regeneration	8	0	0	0	0	1	0	0	7	0	0	Satisfactory
Treasury Management	2	0	0	0	0	1	0	0	1	0	0	Satisfactory
Council Tax	7	0	0	0	0	2	0	0	5	1	1	Satisfactory
NNDR	6	0	0	0	0	2	0	0	4	1	1	Satisfactory
Recovery	8	0	0	0	0	1	0	0	7	1	1	Satisfactory
Payroll	4	0	0	0	0	1	0	0	3	0	0	Satisfactory
Creditor Payments	5	0	0	0	0	1	0	0	4	0	0	Satisfactory
General Ledger	4	0	0	0	0	2	0	0	2	0	0	Satisfactory
Housing Benefits	9	0	0			3	0	0	6	0	0	Satisfactory
<b>TOTAL RECOMMENDATIONS</b>	<b>150</b>	<b>0</b>				<b>38</b>			<b>112</b>			
<b>ACTION TAKEN TO DATE</b>			<b>0</b>	<b>0</b>			<b>10</b>	<b>8</b>		<b>26</b>	<b>19</b>	

Key:		Class	
<b>Risk</b>		<b>Regulatory</b>	To ensure the integrity of internal controls and/or compliance with Regulations / Policies and Procedures.
High	Significant control weakness / inefficiency exists with a high likelihood of occurring, potentially causing a breach of legislation / legal requirements and/or a substantial loss or damage to Council assets, information and reputation. Considered essential to implement recommendation promptly.	Added Value	Intended as an enhancement to the existing system which may provide a benefit to either the user or the customer.
Medium	Control weakness / inefficiency exists with a moderate likelihood of occurring, potentially causing a breach of organisational policies and procedures, loss or damage to Council assets, information and reputation. Considered essential to implement recommendation to ensure adequate system controls / necessary improvement in service provision.		
Low	Minor control weakness / inefficiency exists with a minimal impact on the Council assets, information and reputation. Considered necessary to implement recommendation to provide management with additional assurance regarding the adequacy of system controls / improvement in service provision.		