

Appendix D: Above Tolerance Opportunity Risks

ALT Owner	Ref	Opportunity	Controls	Fruition Plans
Strategic				
Neil Rodgers Impact – 5 Likelihood - 3	SRRO - 1	Housing Growth	<ol style="list-style-type: none"> 1. Affordable Homes Programme. 2. Empty Homes Strategy in place. 3. Accelerated Housing Delivery Programme 4. SM Local Plan adopted in 2020. 	<ol style="list-style-type: none"> 1. Empty homes strategy implementation. 2. Focus on growth agenda through Local Plan delivery - realisation of Accelerated Housing Delivery Programme. 3. Work with Homes England to access funding to support housing growth. 4. Disposal of remaining Council owned sites being programmed for 2023/24.
Neil Rodgers Impact – 4 Likelihood - 4	SRRO - 2	Business Growth	<ol style="list-style-type: none"> 1. Growth Hub referrals. 2. Provision of employment units 3. Business Newsletters advising of grant funding opportunities and training events to help businesses survive and flourish 	<ol style="list-style-type: none"> 1. Implement the accelerated business growth and employment programme. 2. Work with LEP partners to access vital funding to support business growth. 3. Use of ARG funding to provide enhanced business support
Mark Trillo Impact – 3 Likelihood - 4	SRRO - 4	Effective contractor relationships	Current procurement and contract management arrangements	<ol style="list-style-type: none"> 1. Greater focus on Council as commissioner 2. Effective contract management arrangements that build up effective partnership arrangements 3. Focus on Contract Management within the Procurement Strategy Implementation and delivery of the key priority actions will commence from January 2023.
Mark Trillo Impact- 4 Likelihood- 4	SRRO -5	Delivery of the 3 LUF investment schemes	<ol style="list-style-type: none"> 1. Effective programme and project management methodology for the delivery of the scheme 2. Project/steering/monitoring groups established reporting weekly, bi-weekly, monthly and quarterly 3. Mutual ventures engaged to mobilise the initiation/inception of the scheme 	<ol style="list-style-type: none"> 1. Steering groups held with Mutual Ventures weekly/bi weekly until the end of May, then to be superseded by project group meetings 2. Weekly/Bi weekly Project group meetings 3. Monthly programme board meetings 4. Quarterly TB meeting specific to LUF funding 5. Members updated at least 6 monthly 6. Monitoring and evaluation document to central Govt qtrly/6 monthly
Operational				
Tanya Cooper Impact – 3 Likelihood - 4	ODTO R1	Efficiency opportunities arising from the implementation of new IT systems	IT strategy evaluated to create a clearer picture of the way forward and the required actions	IT strategy now approved, and action plan developed.

Projects				
Tanya Cooper –Procurement Workflow Impact – 3 Likelihood – 4	PWO pp1	Improved business intelligence and reporting function	Limited reporting function in the current system but an improved reporting function has been highlighted as an outline deliverable and is part of the critical success criteria	1.New system specifications include an improved reporting function 2. Critical success criteria includes an improved reporting function 2022-23.
Lorraine Wright – Tunstall Road Impact – 4 Likelihood - 3	1	Delivery of up to 105 homes and 20,000 sqft employment space (generating £0.12m gross income) + additional 11 employment site plots for re-sale (60,000 sqft) -delivery of Local plan site and additional income to council from business rates & council tax. There remains risk that without works outlined, the project will not be able to progress which could reduce 5-year supply and will see loss of business due to lack of b1/b2 accommodation.	A masterplan of site options and layouts was completed in 2018, with owners’ input, to inform local plan. It recommended follow up work to Recommended next steps: - Formal valuations of the two residential properties which are included - Prepare and undertake land equalisation proposals including undertaking negotiations with landowners - Preparation of business case for council to consider purchase of part of site/ marketing of site to developers and/or procurement	1. Continued liaison with Homes England so project trajectory on their radar (potential funding) 2. Continued liaison with LEP re project as SS LEP pipeline (although project is would not be shovel ready until second stage work undertaken).
Rob Wilks – Brough Park All Impact – 4 Likelihood - 3	BP1	Develop a committed community group who will take ownership of the recreation area	Play inspections occur regularly by staff	Regular contact with community group members to encourage support for the project and establish community ownership of the play area site.
	BP2	Support a community group to access further external funding to enhance the site	There are limited funding options available to local councils	Explore funding opportunities that may be available that are appropriate to the site and can easily be accessed by the community group.

	BP3	Promoting physical activity with a range of external partners	Further improvement works are planned at the site, and these would all benefit people's health and well-being	The installation of the new play area will promote interest from the local community will provide a solid foundation for us to build upon and encourage activity opportunities and extended use of a well-managed open space.
	BP4	Enhance the offering from John Hall Garden	Limited public interaction with the site in its current form	Improvements to the site will encourage more people to visit and in-turn make a more viable offering. Work will be required to promote the offering and engage the public to attend.