

HIGH PEAK BOROUGH COUNCIL INTERNAL AUDIT – 2023/24 PROGRESS INFORMATION AS AT 30<sup>th</sup> NOVEMBER 2023

AUDIT	TOTAL RECOMMENDATIONS		HIGH RISK RECOMMENDATIONS			MEDIUM RISK RECOMMENDATIONS			LOW RISK RECOMMENDATIONS			ASSURANCE OPINION / COMMENTS
	Regulatory	Added value	Agreed / (Not Agreed)	Due to date	Actioned to date	Agreed / (Not Agreed)	Due to date	Actioned to date	Agreed / (Not Agreed)	Due to date	Actioned to date	
Carelink	8	4	0	0	0	1	0	0	11	1	1	Satisfactory
Climate Change	7	3	0	0	0	1	0	0	9	0	0	Satisfactory
Right To Buy	7	0	0	0	0	3	0	0	4	0	0	Satisfactory
Housing Rents	1	0	0	0	0	1	0	0	0	0	0	Satisfactory
Leisure Contract Management	9	1	0	0	0	4	0	0	6	0	0	Satisfactory
Media & Communications												Audit in Progress
Procurement	10	5	0	0	0	2	0	0	13	0	0	Satisfactory
Pavilion Gardens Contract Managmt	9	0	0	0	0	4	0	0	5	0	0	Satisfactory
Taxi Licensing												Audit in Progress
Car Parking												Audit in Progress
Sundry Debtors												Audit in Progress
NNDR												Audit in Progress
<b>TOTAL RECOMMENDATIONS</b>	<b>64</b>	<b>0</b>				<b>16</b>			<b>48</b>			
<b>ACTION TAKEN TO DATE</b>				<b>0</b>	<b>0</b>		<b>0</b>	<b>0</b>		<b>1</b>	<b>1</b>	

Key:		Class	
<b>Risk</b>		<b>Regulatory</b>	To ensure the integrity of internal controls and/or compliance with Regulations / Policies and Procedures.
High	Significant control weakness / inefficiency exists with a high likelihood of occurring, potentially causing a breach of legislation / legal requirements and/or a substantial loss or damage to Council assets, information and reputation. Considered essential to implement recommendation promptly.	<b>Added Value</b>	Intended as an enhancement to the existing system which may provide a benefit to either the user or the customer.
Medium	Control weakness / inefficiency exists with a moderate likelihood of occurring, potentially causing a breach of organisational policies and procedures, loss or damage to Council assets, information and reputation. Considered essential to implement recommendation to ensure adequate system controls / necessary improvement in service provision.		
Low	Minor control weakness / inefficiency exists with a minimal impact on the Council assets, information and reputation. Considered necessary to implement recommendation to provide management with additional assurance regarding the adequacy of system controls / improvement in service provision.		