

STAFFORDSHIRE MOORLANDS DISTRICT COUNCIL INTERNAL AUDIT – 2024/25 PROGRESS INFORMATION AS AT 31<sup>st</sup> JULY 2024

AUDIT	TOTAL RECOMMENDATIONS		HIGH RISK RECOMMENDATIONS			MEDIUM RISK RECOMMENDATIONS			LOW RISK RECOMMENDATIONS			ASSURANCE OPINION / COMMENTS
	Regulatory	Added value	Agreed / (Not Agreed)	Due to date	Actioned to date	Agreed / (Not Agreed)	Due to date	Actioned to date	Agreed / (Not Agreed)	Due to date	Actioned to date	
AES Contract Management	12	1	0	0	0	4	0	0	9	0	0	Satisfactory
Alliance Norse Contract Management												Management Response
Capital Accounting	0	0	0	0	0	0	0	0	0	0	0	Substantial
Equalities & Diversity	11	2	0	0	0	3	0	0	10	0	0	Satisfactory
Expenses & Employee Vehicle Schemes												Quality Control
Cyber Security - Identify												Audit in Progress
Corporate Governance												Audit in Progress
Customer Feedback												Audit in Progress
<b>TOTAL RECOMMENDATIONS</b>	<b>26</b>	<b>0</b>				<b>7</b>			<b>19</b>			
<b>ACTION TAKEN TO DATE</b>				<b>0</b>	<b>0</b>		<b>0</b>	<b>0</b>		<b>0</b>	<b>0</b>	

<b>Key:</b>			
<b>Risk</b>		<b>Class</b>	
High	Significant control weakness / inefficiency exists with a high likelihood of occurring, potentially causing a breach of legislation / legal requirements and/or a substantial loss or damage to Council assets, information and reputation. Considered essential to implement recommendation promptly.	Regulatory	To ensure the integrity of internal controls and/or compliance with Regulations / Policies and Procedures.
Medium	Control weakness / inefficiency exists with a moderate likelihood of occurring, potentially causing a breach of organisational policies and procedures, loss or damage to Council assets, information and reputation. Considered essential to implement recommendation to ensure adequate system controls / necessary improvement in service provision.	Added Value	Intended as an enhancement to the existing system which may provide a benefit to either the user or the customer.
Low	Minor control weakness / inefficiency exists with a minimal impact on the Council assets, information and reputation. Considered necessary to implement recommendation to provide management with additional assurance regarding the adequacy of system controls / improvement in service provision.		