

HIGH PEAK BOROUGH COUNCIL INTERNAL AUDIT – 2017/18 PROGRESS INFORMATION AS AT 31st JANUARY 2018

AUDIT	TOTAL RECOMMENDATIONS		HIGH RISK RECOMMENDATIONS			MEDIUM RISK RECOMMENDATIONS			LOW RISK RECOMMENDATIONS			ASSURANCE OPINION / COMMENTS
	Regulatory	Added value	Agreed / (Not Agreed)	Due to date	Actioned to date	Agreed / (Not Agreed)	Due to date	Actioned to date	Agreed / (Not Agreed)	Due to date	Actioned to date	
Procurement	6	1	0	0	0	3	2	2	4	2	2	Satisfactory
Housing Rents	2	2	0	0	0	2	0	0	2	0	0	Satisfactory
Cemeteries	10	2	0	0	0	3	2	2	9	6	6	Satisfactory
Payroll Expenses	9	1	0	0	0	2	1	1	8	2	2	Satisfactory
Corporate Project Management	8	2	0	0	0	0	0	0	10	9	9	Satisfactory
Disabled Facilities Grants Assurance												N/A
Land Charges	2	3	0	0	0	0	0	0	5	0	0	Satisfactory
Conservation Grants	5	0	0	0	0	0	0	0	5	4	4	Satisfactory
Horticulture	9	0	0	0	0	3	0	0	6	1	1	Satisfactory
Capital Contract Management }	6	1	0	0	0	1	0	0	6	2	2	Satisfactory
Housing Planned Maintenance }												
Safeguarding Children & V A	12	3	0	0	0	3	0	0	12	0	0	Satisfactory
Elections	15	3	0	0	0	3	1	1	15	2	2	Satisfactory
Planning Policy	3	1	0	0	0	0	0	0	4	0	0	Substantial
Housing Advice	8	1	0	0	0	2	0	0	7	0	0	Satisfactory
Emergency Planning / BCP												Management Response
Development Control Section 106												Work In Progress
Data Protection & Info Governance	15	0	0	0	0	4	0	0	11	2	2	Satisfactory
Licensing												Work In Progress
Freedom of Information												Work In Progress
Regeneration												Work In Progress
Treasury Management	1	0	0	0	0	0	0	0	1	0	0	Substantial
Sundry Debtors												Work In Progress
Carelink												Work In Progress
NNDR												Work In Progress
Council Tax												Work In Progress

TOTAL RECOMMENDATIONS

131

0

26

105

ACTION TAKEN TO DATE

0

0

6

6

30

30

Key:		Class	
Risk		Regulatory	To ensure the integrity of internal controls and/or compliance with Regulations / Policies and Procedures.
High	Significant control weakness / inefficiency exists with a high likelihood of occurring, potentially causing a breach of legislation / legal requirements and/or a substantial loss or damage to Council assets, information and reputation. Considered essential to implement recommendation promptly.	Added Value	Intended as an enhancement to the existing system which may provide a benefit to either the user or the customer.
Medium	Control weakness / inefficiency exists with a moderate likelihood of occurring, potentially causing a breach of organisational policies and procedures, loss or damage to Council assets, information and reputation. Considered essential to implement recommendation to ensure adequate system controls / necessary improvement in service provision.		
Low	Minor control weakness / inefficiency exists with a minimal impact on the Council assets, information and reputation. Considered necessary to implement recommendation to provide management with additional assurance regarding the adequacy of system controls / improvement in service provision.		