

AUDIT & REGULATORY COMMITTEE WORK PROGRAMME 2018/19

Item	Oct 2018	Feb 2019	May 2019	July 2019	Details
INTERNAL AUDIT					
Internal Audit Progress Report	✓	✓	✓	✓	Reports on progress against the audit plan inc. key performance information.
Annual Audit Plan			✓		To consider and approve the Annual Audit Pan.
Internal Audit Annual Report				✓	To consider Internal Audit's annual report and opinion on the overall adequacy and effectiveness of the Council's internal control environment.
Annual Review of Effectiveness of IA				✓	To consider an annual review of the effectiveness of the Council's system of internal audit.
Internal Audit Charter					To consider updates to the formal document that defines Internal Audit's purpose, authority and responsibility.
EXTERNAL AUDIT					
Audit Committee Update			✓	✓	A summary of emerging national issues and developments that the Committee may wish to consider. Also provides a summary of progress on the audit – where not covered by other items on the agenda.
Audit Fee Letter			✓		Letter setting out annual audit fee .
Audit Plan		✓			Report specifying the detailed risks that external audit consider as part of their work, the audit approach and the result of any interim work
Audit Findings Report				✓	To consider the external auditor's report to those charged with governance on issues arising from the audit of the accounts and the VFM conclusion.
Annual Audit Letter		✓			To consider the external auditor's annual report on their overall assessment of the Council.
Certification of Claims & Returns Annual Report		✓			Report summarising the findings from work to certify grant claims made to the government..
Informing the Audit Risk Assessment		✓			To consider the risk assessment and understanding of management processes.

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FINANCE					
Statement of Accounts				✓	To review and approve the Council's annual Statement of Accounts.
Treasury Management Update	✓	✓		✓	Oversight and scrutiny of the Council's Treasury Management position to date and the projected outturn.
Annual Treasury Management Report				✓	To recommend to Council for approval the annual Treasury Management Report summarising performance and compliance with the Strategy and Prudential Indicators.
Treasury Management Strategy		✓			Oversight and scrutiny of the Council's Treasury Management Strategy.
CORPORATE					
Annual Governance Statement (AGS)				✓	To review and approve the Annual Governance Statement and the underlying assurance evaluation process and supporting evidence.
AGS Progress Against Action Plan		✓			Report on progress made against the actions raised as part of the previous years Annual Governance Statement process.
Risk Management Update	✓	✓			Report on developments in the Council's risk management arrangements.
Risk Management Strategy		✓			Update of the Risk Management Strategy (biennial February)
Anti-Fraud & Corruption Policy	✓				To consider updates to the Council's Anti-Fraud & Corruption Policy.
Regulation of Investigatory Powers Act Policy & Procedures	✓				To consider updates to the Council's Regulation of Investigatory Powers Act Policy & Procedures
Whistleblowing Policy			✓		To consider updates to the Council's Whistleblowing Policy.
AUDIT & REGULATORY COMMITTEE					
Agree Programme of Work	✓	✓	✓	✓	To agree future work programme of A&R Committee.
Review of Effectiveness of A&R Committee inc. Terms of Reference				✓	To consider the annual review of the effectiveness of the Audit & Regulatory Committee and the A&R Committee's Terms of Reference.